

M. Bauren

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of Robert Thomas Cato

Date: August 31, 2001

Serial Number: not assigned

: Group Art Unit: not assigned

Filed: herewith

Examiner: not assigned

Title: METHOD AND SYSTEM OF

IBM CORPORATION

CAPTURING AND READING CONSUMER ORIENTED RESPONSES THROUGH

CONSUMER-APPENDED BAR CODES

Customer Number 25299



INFORMATION DISCLOSURE STATEMENT (IDS) UNDER 37 CFR 1.97

Assistant Commissioner For Patents Washington, D. C. 20231

Dear Sir:

Applicants submit herewith patents, publications or other information which they are aware, which they believe may be material to the patentability of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR 1.56.

While this Information Disclosure Statement may be "material" pursuant to 37 CFR 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 CFR 1.97(g) the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56(a) exists.

The attached form, PTO/SB/08A provides a listing of patents, publications, or other information as required by 37 CFR 1.98(a)(1).

A copy of each of the items on PTO/SB/08A is supplied herewith.

Respectfully submitted,

J Bruce Schelkopf

Registration No. 43,901

Telephone No.: (919) 543 4753

RPS920010105US1

PTO/SB/08A (10-96)
Appropriate or use through 10/31/99, OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respo

	Substitute	tor form	1449A/PTO	
L	•			

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

			_
Sheet	1	of	1

ond to a collection of information	on unless it contains a valid OMB cont	rol numbe	r.
C	omplete if Known	٥	手
Application Number	not assigned	6_	
Filing Date	08/31/2001		
First Named Inventor	Robert Thomas Cato	ري. د د د د د د د د د د د د د د د د د د د	
Group Art Unit	not assigned	29.	31
Examiner Name	not assigned	90	
Attorney Docket Number	RPS920010105US1	710	美 °

U.S. PATENT DOCUMENTS						
Examiner Initials	Cite No.1	U.S. Patent I Number	Document Kind Code ² (<i>if known</i>)	or Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	A 1	5,453,600		Swartz	09/26/1995	
	A2	4,954,699		Coffey et al	09/04/1990	
	A3	5,818,026		Melling et al;	10/06/1998	
	A4	4,782,784		Stewart	03/01/1988	
					-	

	FOREIGN PATENT DOCUMENTS									
Examiner	Cite No.1	Foreign Patent Document			Name of Patentee or	Date of Publication of	Pages, Columns, Lines, Where Relevant			
Initials*		Office ³	Number ⁴	Kind Code ⁵ (If known)	Applicant of Cited Document	Cited Document MM-DD-YYYY	Passages or Relevant Figures Appear	T ⁶		

					•					

Examiner	Date	
Signature	Considered	

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. 2 See attached Kinds of U.S. Patent Documents. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.